

# LAW OFFICE OF VIDA GOSRISIRIKUL, PC

## ESTATE PLANNING FINANCIAL INFORMATION FACT FINDER

Attached is an estate planning “fact finder” that is intended to provide us with a summary of your current assets, their approximate values, and how they are currently titled.

The primary purpose of this fact finder is to give us information concerning what assets you currently possess. This is an important step for you (and us as your advisors) in determining your estate plan. The information requested under the form, in order of importance and usefulness to us, is as follows:

1. LISTING OF ALL YOUR ASSETS: Once we have a listing of all your assets, we can work from that list to obtain any additional information that is needed.
2. OWNERSHIP OF ASSETS: It is often necessary to determine how assets are currently owned (e.g., by one spouse or the other or in joint tenancy) so that recommendations and suggestions can be made with respect to changes in ownership necessary to assist in the reduction of estate tax liability.
3. APPROXIMATE VALUE OF ASSETS: It is *not* necessary that you determine the exact balance or value of each of your assets. A reasonable approximation or average balance of an asset will be more than sufficient.

With the foregoing in mind, we request that you fill out the attached fact finder in whatever detail you find comfortable. Whatever information you are unable to provide, we should be able to secure from your financial professionals or other sources. Obviously, the more information you are able to obtain, the less we will have to secure. However, we do not want you to spend an inordinate amount of time with the fact finder, nor do we want you to go beyond your comfort level in filling this form out.

The information that you do provide should be listed on separate lines, with each item being described by institution, number, and/or other identifying factor. Do not worry about getting each item under the “proper” heading. All of the assets are totaled at the end of the form, regardless of the heading they are under, so if an asset would seem to fit under more than one heading, just put it under one of the headings and go on from there.

As always, please do not hesitate to call if you have any questions.

Thank you,

The Law Office of Vida Gosrisirikul, PC

ESTATE PLANNING  
PERSONAL INFORMATION FACT FINDER

BACKGROUND INFORMATION

	<u>You</u>	<u>Your Spouse</u>
First Name	_____	_____
Middle Name	_____	_____
Last Name	_____	_____
Preferred Name	_____	_____
Social Security Number	_____	_____
Date of Birth	_____	_____
Are you a U.S. Citizen?	_____	_____

Have you at any time during your marriage resided in a community-property state?

\_\_\_\_\_ Yes    \_\_\_\_\_ No    If yes, when and where?

Have you and your spouse entered into any agreement prior to or during your marriage regarding the rights of each of you in the property of the other?

\_\_\_\_\_ Yes    \_\_\_\_\_ No    If yes, please furnish a copy of that agreement.

Have you and your spouse ever filed a United States Gift Tax Return (Form 709)?

\_\_\_\_\_ Yes    \_\_\_\_\_ No    If yes, please furnish a copy of all such returns.

CHILDREN

	<u>Name</u>	<u>Date of Birth</u>
Child 1	_____	_____
Child 2	_____	_____
Child 3	_____	_____
Child 4	_____	_____

Is any child adopted?    \_\_\_\_\_ Yes    \_\_\_\_\_ No

If yes, give the name of the adopted child, date of adoption, and place of adoption.

FINANCIAL INFORMATION

<u>ASSET</u>	OWNERSHIP AND VALUE		
	<u>Husband</u>	<u>Wife</u>	<u>Joint</u>
CASH			
_____	\$ _____	\$ _____	\$ _____
BANK ACCOUNTS			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
CERTIFICATES OF DEPOSIT			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
MONEY MARKET FUNDS			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
BROKERAGE HOUSE ACCOUNTS			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
STOCKS			
_____	\$ _____	\$ _____	\$ _____

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

**GOVERNMENT SAVINGS BONDS (Series E, H, EE, HH)**

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

**TAX-FREE BONDS**

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

**OTHER BONDS**

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

**MUTUAL FUNDS**

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

**INDIVIDUAL RETIREMENT ACCOUNTS (IRAs)**

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

**KEOGH PLAN**

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

QUALIFIED OR NONQUALIFIED EMPLOYER PLANS

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

ANNUITIES

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

LIFE INSURANCE (Face Value/Death Benefit)

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

REAL ESTATE (Include primary residence, vacation homes, rental property, vacant land)

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

PASSIVE REAL ESTATE INVESTMENT (e.g., limited partnerships, etc.)

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

AUTOMOBILES

_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

INTEREST IN CLOSELY HELD BUSINESS

_____	\$ _____	\$ _____	\$ _____
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\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

INTEREST IN PARTNERSHIP

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

SOLE PROPRIETORSHIP

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

PERSONAL/MISCELLANEOUS ASSETS

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

OTHER ASSETS

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

TOTAL ASSETS \$            \$            \$           

GRAND TOTAL \$           

LIABILITIES

Long-term (mortgages or notes not  
to be repaid within one year)

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_

TOTAL LONG-TERM  
LIABILITIES \$            \$            \$

NET WORTH

\$\_\_\_\_\_ \$\_\_\_\_\_ \$\_\_\_\_\_

We have prepared this form with the understanding that it will be relied on for estate planning advice, and any material omissions, over or understated amounts, or inaccurate ownership information may cause that advice to be inappropriate.

Dated: \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
\_\_\_\_\_